



Australian Government

Department of Health

Application 1570:

**PD-L1 (Programmed Death Ligand 1)
immunohistochemistry (IHC) testing for
access to atezolizumab as first-line therapy
for patients with locally advanced or
metastatic triple-negative breast cancer
(TNBC)**

Ratified PICO Confirmation

(To guide a new application to MSAC)

(Version 1.0)

Summary of PICO criteria to define the questions to be addressed in an Assessment Report to the Medical Services Advisory Committee (MSAC)

Component	Description
Patients	<p><i>Test:</i> Patients with locally advanced or metastatic triple negative breast cancer (TNBC).</p> <p><i>Drug:</i> Patients with locally advanced or metastatic TNBC and who are Programmed Death Ligand 1 (PD-L1) positive (defined as expression on tumour-infiltrating immune cells as a percentage of tumour area $\geq 1\%$ [PD-L1 $\geq 1\%$]).</p>
Prior tests	Routine tests used to determine if the TNBC is locally advanced or metastatic and tests for hormone receptor (oestrogen [ER] progesterone receptor [PgR] and <i>human epidermal growth factor receptor 2 [HER2/neu]</i>) positivity or negativity.
Intervention	<p><i>Test:</i> The programmed cell death ligand-1 (PD-L1) test involves taking a biopsy of the breast cancer tumour and performing an immunohistochemical (IHC) assay to detect the percentage of PD-L1 expression on immune cells within a tumour.</p> <p><i>Drug:</i> First-line treatment with atezolizumab in those with PD-L1 $\geq 1\%$ expression on tumour-infiltrating immune cells as a percentage of tumour area.</p>
Comparator	No PD-L1 test and the subsequent continuation of standard of care (i.e. anthracyclines and/or taxane and/or platinum-based chemotherapy as initial treatment), with the choice of regimen depending on patient characteristics, previous treatment in the early breast cancer setting and clinician choice.
Outcomes	<p>Safety outcomes: Adverse events of atezolizumab treatment.</p> <p>Test-related: Efficacy and safety outcomes of atezolizumab with and without prior PD-L1 testing; Re-biopsy rates.</p> <p>Test outcomes: Trial based (evidentiary standard) PD-L1 IHC assay analytical performance; Comparative performance of PD-L1 testing methods; Clinical utility (test plus drug combination).</p> <p>Treatment-related: Overall survival; Progression-free survival; Response rate; Duration of response; Quality of life.</p> <p>Cost-effectiveness: Cost per life year gained; Cost per QALY gained.</p> <p>Healthcare resources: Cost of testing per case; re-biopsy rates; test turn-around time; estimated number of patients being tested.</p> <p>Total Australian Government healthcare costs: Total cost to the Medical Benefits Schedule and the Pharmaceutical Benefits Scheme (PBS).</p>

Table 1. Testing population – incidence of metastatic breast cancer

Population	Parameter	Estimate
A	Projected new cases of breast cancer (2018) ^a	18,235
B	Patients in population A who have metastatic disease (13.4%; 669/4989) ^b	2,443
C	Patients in the metastatic population B who have triple-negative breast cancer (12.8%; 35/273) ^{b, c}	313
D	Patients in population C who are eligible for testing (100%) ^d	313
E	Population who utilise the testing (uptake rate: 100%) ^d	313

^a from application; AIHW projected cases of breast cancer (11)

^b from application and supplementary email dated 27 February 2019, based on Thientosapol 2013 (12)

^c of the 669 identified with metastatic breast cancer (MBC), 273 were included in the analysis (396 excluded based on 310 did not receive palliative chemotherapy; 30 started first-line chemotherapy before May 2003 or after January 2011; 43 did not start first-line chemotherapy at one of the three specified cancer centres and 13 patients had insufficient data for analysis). *12.8% may be an underestimate as Thientosapol 2013 (12) report that HER2 status was recorded for only 213 patients, thus the value is reported as 17% (35/213)*

^d assumption, considered reasonable

The population estimates provided in the application were considered to be underestimated for the following reasons:

- The estimates did not include patients with locally advanced TNBC;
- The percentage of patients with metastatic disease was calculated based on an incident population (new cases). As Thientosapol 2013 (12) stated that most patients were diagnosed with metastatic breast cancer after an initial diagnosis of early breast cancer, this infers that there would be an additional cohort of patients with metastatic disease in the prevalent patient population;
- According Thientosapol 2013 (12), 23% (63/273) of patients had metastatic disease at diagnosis and therefore the percentage in row B should state 23% rather than 13.4%; and
- According to Thientosapol 2013 (12), 17% (35 of 213 with reported *HER2* status) were triple negative and therefore the percentage in row C should state 17% rather than 12.8%.

PASC noted that breast cancer staging criteria include different definitions for ‘locally advanced’, ‘metastatic’ and ‘advanced’ disease. PASC queried whether these differences are relevant to the trial populations and, if so, whether the Draft PICO underestimated the numbers of patients and tests, drug access and costs. Additionally, PASC noted that the application specifies that testing is intended for TNBC patients who are ‘previously untreated in the advanced setting’, but patients may have had adjuvant or neoadjuvant therapy for their early disease. PASC queried whether treatment history would have any effect on the model, estimates or outcomes.

PASC noted that, in its response to the Draft PICO, the applicant updated the estimated number of patients likely to receive the test from 313 per year to 1469 per year. PASC noted that the revised estimate only includes patients with metastatic and not locally advanced disease, so is still likely to be an underestimate. It is also likely to be inaccurate, because it is based on incident cases only, and does not consider patients who may have relapsed with advanced disease from earlier-stage disease (i.e. part of the prevalent population).

Access to atezolizumab would be based on those who demonstrate that at least 1% of the immune cells express PD-L1 (as a percentage of tumour area). The application indicates that the results of the IMpassion 130 trial will inform the submission. The applicant has advised that updated overall survival data from IMpassion 130 will be provided in the co-dependent application. PASC noted the evidence for the application is largely from the IMpassion 130 trial, a phase III randomised controlled trial evaluating the efficacy, safety and pharmacokinetics of atezolizumab + nanoparticle albumin-bound (nab)-paclitaxel compared with placebo + nab-paclitaxel, for participants with previously untreated metastatic TNBC. PASC noted that other related trials are in progress. Further evidence is available from a recently published open label, phase I trial evaluating long-term clinical outcomes and biomarker analyses of atezolizumab therapy for patients with metastatic TNBC.

A number of co-dependent applications have been submitted for MBS funding of Programmed cell Death protein 1 (PD-1)/PD-L1 testing in non-small cell lung cancer (NSCLC); bladder cancer; mesothelioma; head and neck squamous cell carcinoma (HNSCC); and urothelial cancer (**Error! Reference source not found.**). Application 1414 was not supported by MSAC; this was resubmitted as Application 1440 / 1440.1. Upon consideration of MSAC 1440.1, MSAC supported a new MBS item for immunohistochemistry testing of programmed cell death ligand 1 (PD-L1) expression in patients with metastatic non-small cell lung cancer (NSCLC) to determine access to pembrolizumab under the Pharmaceutical Benefits Scheme (PBS). Other applications have not yet been considered by MSAC.

Table 2: Current applications for PD-1/PD-L1 testing

Application	Patient group	PD-1/PD-L1 cut-off	Co-dependent medicine	Applicant
1440 / 1440.1	NSCLC	TPS ≥50%	Pembrolizumab	MSD
1445	Bladder cancer	CPS ≥1%	Pembrolizumab	MSD
1453	Mesothelioma	TPS ≥1%	Pembrolizumab	MSD
1457	Urothelial	Not reported	Pembrolizumab	MSD
1505	HNSCC	TPS ≥25% (mono) TPS <25% (combo)	Durvalumab or durvalumab/tremelimumab combination therapy	AZ
1506	Urothelial	TC ≥25% ≥1% IC, ≥25% 1% IC, 100%	Durvalumab or durvalumab/tremelimumab combination therapy	AZ
1522	HNSCC	Not reported	Pembrolizumab	MSD

Source: relevant Public Summary Documents, PICO Confirmations or Application Forms from <http://www.msac.gov.au>

AZ = AstraZeneca; CPS = combine positive score (tumour + inflammatory cells); HNSCC = head and neck squamous cell carcinoma; IC = immune cells; MSD = Merck, Sharp & Dohme; NSCLC = non-small cell lung cancer; TPS = tumour proportion score (tumour cells)

Prior testing

Prior tests would include tests for advanced breast cancer and tests for hormone receptor (oestrogen receptor [ER], progesterone receptor [PgR] and *human epidermal growth factor receptor 2 [HER2/neu]*) positivity or negativity. These tests are currently performed routinely.

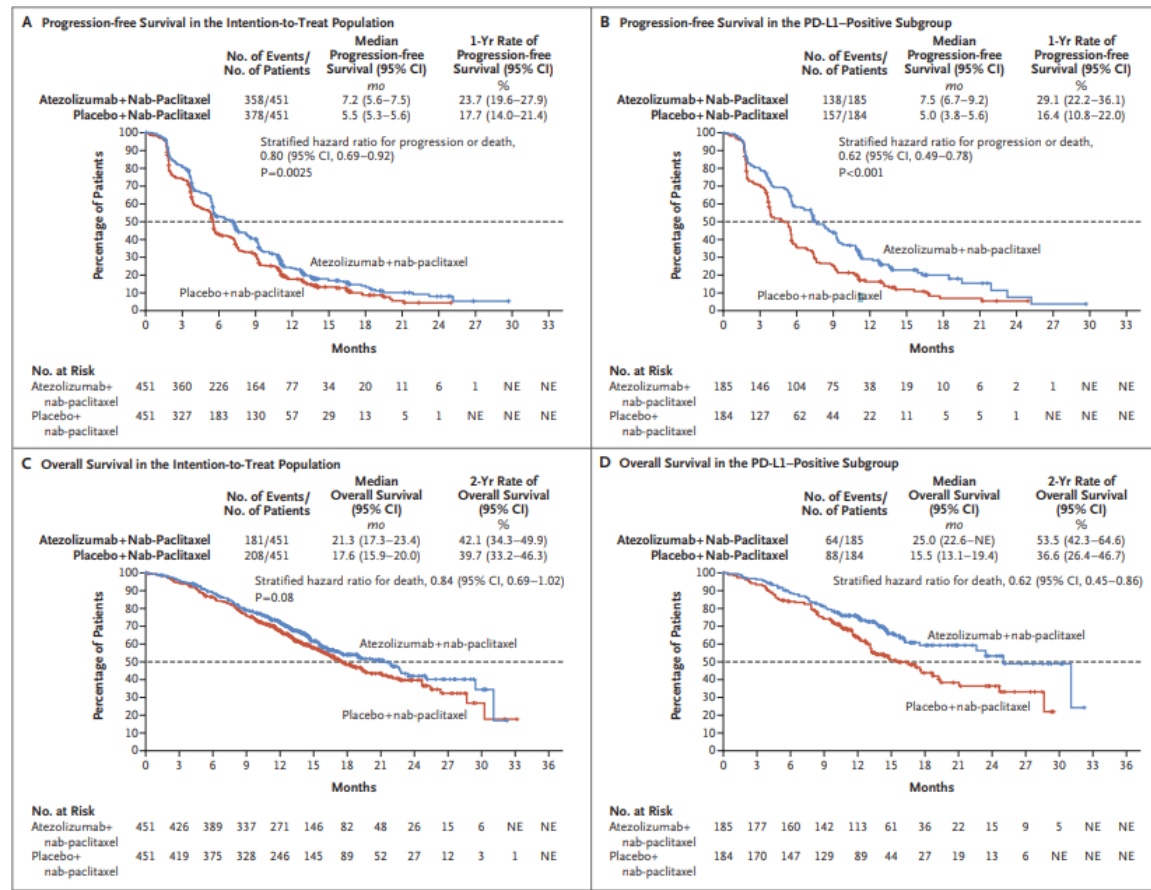


Figure 1: Kaplan-Meier Analysis of Progression-free Survival in (A) the intention-to-treat (ITT) population and (B) PD-L1 positive subgroup and Overall Survival in (C) the ITT population and (D) PD-L1 positive subgroup

PD-L1 positive subgroup = patients whose tumours were positive for programmed death ligand 1 (PD-L1) expression ($\geq 1\%$ PD-L1 expression on tumour-infiltrating immune cells)

Source: Figure 2, p2114 of Schmid 2018 (13)

Intervention

The applicant states the proposed PBS-restriction for atezolizumab will be for first-line treatment of locally advanced or metastatic TNBC. The application to PBAC for PBS listing of atezolizumab will include clinical data in all comer patients and in patients expressing PD-L1. This application to MSAC requests consideration of PD L1 testing in order to access atezolizumab as a contingency for the scenario in which the PBAC recommends PBS listing of atezolizumab in PD-L1 positive patients only. The testing would enable identification of those patients most likely to benefit from treatment with atezolizumab.

All patients who have previously untreated locally advanced or metastatic TNBC are expected to undergo testing for PD-L1 expression (i.e. 100% uptake). The applicant considers that the test will be undertaken using tissue samples taken as part of the standard diagnostic work-up when advanced breast cancer (presumably locally advanced or metastatic) is suspected. The application notes that the IMpassion130 trial utilised PD-L1 testing on both archival and newly obtained biopsy samples. Analysis by type of sample tested would be informative as MSAC noted in the 1414 Public Summary Document that “PD-L1 expression is inducible and may vary during the course of disease”. PASC noted the intention that IHC testing will be done by a pathologist on a biopsy sample, taken as part of standard diagnostic work-up and alongside other routine IHC tests.

PD-L1 testing

A PD-L1 test involves analysing tissue obtained from a tumour biopsy to determine the level of PD-L1 expression. The applicant proposes that the Roche VENTANA SP142 IHC assay be used to assess PD-L1 expression. The application states that the diagnostic test has yet to be included on the ARTG as a class III IVD with companion diagnostic claims. The applicant noted they will submit an application for the SP142 IHC assay kit (as an in vitro diagnostic [IVD] device) following TGA approval of atezolizumab for the treatment of first-line, locally advanced or metastatic TNBC, see below.

The SP142 assay was specifically developed for atezolizumab to optimise staining of immune cells, in line with the biological hypothesis that PD-L1 expression on immune cells plays a key role for its activity. PD-L1 expression on immune cells is considered to be the biomarker for differentiating the efficacy of atezolizumab plus nab-paclitaxel in patients with TNBC. The assay has been used across the atezolizumab clinical trial program, with the VENTANA SP142 IHC assay specifically being used to assess PD-L1 expression in the key randomised trial (IMpassion130), which is likely to form the major part of the clinical evidence for this test.

The diagnostic hypothesis and definition of PD-L1 positivity in IMpassion130 were based on observations of the potential predictive value of PD-L1 expression on tumour-infiltrating immune cells, and the fact that in breast cancer it appears far more common than on tumour cells, with the majority of cases PD-L1 positive on tumour cells being also positive on immune cells. In IMpassion130 using SP142, prevalence of PD-L1 positivity on immune cells and tumour cells was 41% and 9%, respectively, and the majority of patients who had PD-L1 expression on tumour cells of $\geq 1\%$ also had PD-L1 expression on immune cells of $\geq 1\%$. Patients with PD-L1-positive tumours (expression on tumour-infiltrating immune cells $\geq 1\%$ as a percentage of tumour area) represented the PD-L1-positive subgroup in IMpassion 130. PASC noted that the applicants’ rationale for using this definition of PD-L1 positivity was the potential predictive value of PD-L1 expression on tumour-

infiltrating immune cells. PASC confirmed that, for atezolizumab access, a score of $\geq 1\%$ PD-L1 is to be based on the proportion of PD-L1-expressing tumour-infiltrating immune cells as a percentage of tumour area. The applicant states that the results from IMpassion130 have not been validated on any assay other than the VENTANA SP142 assay.

Detailed information on the SP142 IHC assay kit components as well as a comparison of the assay to alternative commercial PD-L1 test kits for TNBC would be presented for MSAC consideration in the co-dependent technology submission along with results from a global concordance study. Data from the same trial will also be presented to help inform both the type of sample required for PD-L1 testing as well as further relevant sample considerations such as biopsy location.

The applicant provided a summary of the type of assay relevant to various PD-1/PD-L1 medicines and the scoring systems used. This is presented in Figure 2. The PD-L1 assay and scoring system proposed for previously untreated, unresectable locally advanced or metastatic TNBC represents a further assay and scoring system to those previously considered by PASC. PASC noted that there are several commercial assay kits and instrumentation platforms available for PD-L1 testing; individual laboratories may also set up their own in-house tests. PASC also noted that the available IHC assays differ in the cell types and cut-offs used to define PD-L1 positivity. PASC expressed concern about the comparability of the different assays, noting that Tecentriq® results had not been validated on other platforms. The applicants stated that they will present a comparison of alternative commercial PD-L1 test kits for TNBC as part of their MSAC application.

Available commercial PD-L1 IHC assays

Assay (antibody clone)	VENTANA PD-L1 IHC (SP142) assay ^{1,2}	VENTANA PD-L1 IHC (SP263) assay ³	Agilent/Dako PD-L1 IHC (22C3) pharmDx ^{4,5}	Agilent/Dako PD-L1 IHC (28-8) pharmDx ⁶
Anti-PD-1/PD-L1 drug	TECENTRIQ (atezolizumab) – R/G	IMFINZI (durvalumab) – AZ	KEYTRUDA (pembrolizumab) – MSD	OPDIVO (nivolumab) – BMS
Dx manufacturer and platform	VENTANA BenchMark ULTRA	VENTANA BenchMark ULTRA	Dako Link 48 Autostainer	Dako Link 48 Autostainer
Origin	Rabbit monoclonal vs C-terminus	Rabbit monoclonal vs C-terminus	Mouse monoclonal vs N-terminus	Rabbit monoclonal vs N-terminus
Scoring algorithm cell types and cut-off values	<ul style="list-style-type: none"> NSCLC: TC1/2/3 ($\geq 1\%$, $\geq 10\%$, $\geq 50\%$ or IC1/2/3 ($\geq 1\%$, $\geq 5\%$, $\geq 10\%$)) mUC: IC1/2/3 ($\geq 1\%$, $\geq 5\%$, $\geq 10\%$)) TNBC: IC1/2/3 ($\geq 1\%$)[*] 	<ul style="list-style-type: none"> NSCLC: TC $\geq 25\%$ mUC: TC or IC $\geq 25\%$ 	<ul style="list-style-type: none"> NSCLC: TC $\geq 1\%$, $\geq 5\%$ and $\geq 10\%$ mUC: TC $\geq 1\%$, $\geq 5\%$ 	<ul style="list-style-type: none"> TC $< 1\%$ TC $\geq 1\%$ TC $\geq 5\%$ TC $\geq 10\%$

^{*} Based on the IMpassion130 trial design. Currently under assessment by regulators as a companion diagnostic
 1. Fehrenbacher, et al. Lancet 2016; 2. Rosenberg, et al. Lancet 2016; 3. VENTANA PD-L1 (SP263) interpretation guide; 4. Herbst, et al. Lancet 2016; 5. Balaz, et al. ESMO 2016 (Abstract LBA32_PR);
 6. Borghaei, et al. N Engl J Med 2015

Note, IMpassion130 results are not validated on any assay other than VENTANA SP142

Figure 2: Summary of the type of assay relevant to various PD-1/PD-L1 medicines and the scoring systems used

IC = immune cell; mUC = metastatic urothelial cancer; NSCLC = non-small cell lung cancer; TNBC = triple negative breast cancer; TC = tumour cell

The applicant indicates that only one PD-L1 test would be required throughout the course of a patient’s disease. The applicant states that a certified pathologist would be responsible for conducting the testing and for reporting the results and that PD-L1 testing should be able to be carried out in any pathology laboratory holding the appropriate accreditation to claim pathology services through the MBS. Specialists, including oncologists may provide a referral for PD-L1 testing.

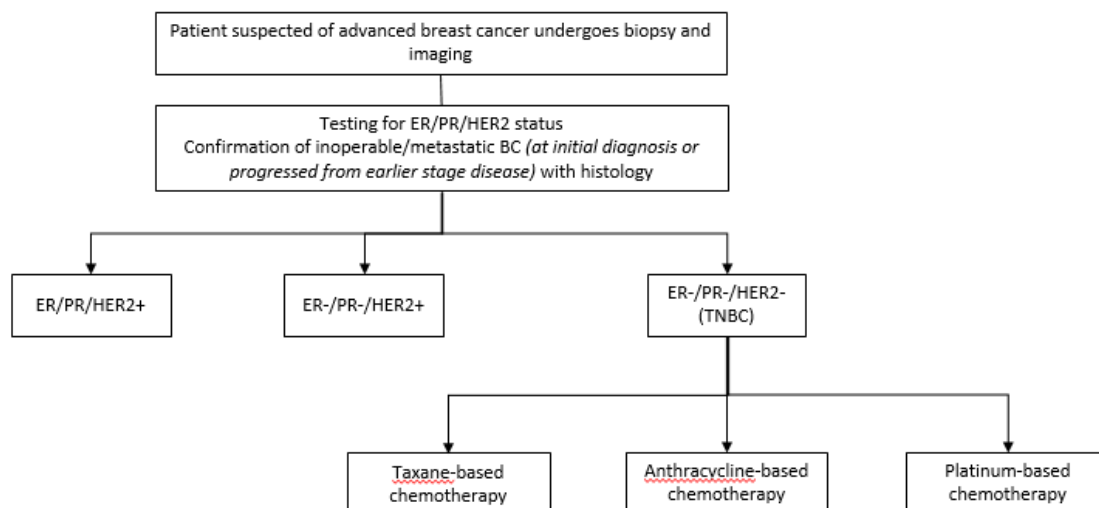


Figure 3: Current clinical treatment algorithm for first-line management of locally advanced or metastatic TNBC

TNBC = triple-negative breast cancer; ER = oestrogen; PgR = progesterone; HER2/neu = human epidermal growth factor receptor; BC = breast cancer

Proposed clinical management algorithm for identified population

Figure 4 summarises the ways in which the applicant predicts that the treatment algorithm for patients with locally advanced or metastatic TNBC is likely to change with the MBS listing of PD-L1 testing for this patient population and with the PBS listing of atezolizumab for this indication. Shaded cells represent the request made in the application. As for the current clinical treatment algorithm and for clarity, the algorithm provided in the application has been amended to specify that inoperable/metastatic breast cancer is determined “at initial diagnosis or progressed from earlier stage disease”. The proposed treatment for those who are determined to be PD-L1 positive ($\geq 1\%$) may also be better described as “Atezolizumab + nab-paclitaxel” according to its use in the IMpassion 130 trial, rather than “Atezolizumab-based therapy”. Given the key IMpassion 130 trial used atezolizumab + nab-paclitaxel, PASC queried whether that should be specified in the proposed algorithm (rather than ‘atezolizumab-based therapy’). The applicants confirmed that there is no evidence that any particular chemotherapy is better for treating TNBC; nab-paclitaxel was chosen as a reasonable proxy given heterogeneity of treatments in practice.

medicines, and include testing on different cell types with different cut-off points. PASC advised that the question of how to deal with funding for PD-L1 testing overall needs to be considered.

PASC queried whether the MBS item descriptor should specify that PD-L1 testing is to be done on the same tissue used to confirm metastases, as done in the trials (i.e. testing at recruitment). The applicants stated that fresh biopsy tissue is preferred, but archival tissue can also be used (which reflects real-world practice). The applicant stated that, in the trials, REDACTED were archival samples. However, the applicant expressed the view that imposing a requirement that the same tissue be used (that confirmed metastases) could lead to some patients being excluded.

PASC noted that quality assurance programs are in place and that the applicant has been conducting training.

Summary of PASC's discussion

PASC's focus of concern related to test performance, noting issues in common with other applications for PD-L1 testing. Because the application is test-agnostic, it is important to examine comparability among tests. PD-L1 positivity should be determined on tumour-infiltrating immune cells. PASC advised that false-positive and false-negative rates must be considered, especially in terms of the consequences of a high false-positive rate on economic aspects for the PBAC. Specifically, sensitivity analysis should be performed on the modelled false-positive rate. PASC advised that better population estimates are required, and should include patients with locally advanced disease and patients in the prevalent population. PASC also advised that any effect of previous treatment and age/fitness of the patient on PD-L1 positivity should be assessed and ruled out.

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